

Lecture 18

The Schwarzschild
Black Hole

What's going on at
 $r = 2M$??

Perihelion Precession

An orbit near the stable equilibrium at $r = R_+$ will oscillate in the effective potential with frequency

$$\begin{aligned}\omega^2 &\approx \frac{\partial^2 V_{\text{eff}}}{\partial r^2} (R_+) \\ &= -2 \frac{M}{R_+^3} + 3 \frac{L^2}{R_+^4} - 4 \frac{3ML^2}{R_+^5} \\ &= \frac{-2MR_+^2 + (R_+ - 4M)3L^2}{R_+^5}\end{aligned}$$

Recall that

$$\begin{aligned}MR_+^2 - L^2 R_+ + 3ML^2 &= 0 \\ \Rightarrow L^2 &= \frac{MR_+^2}{R_+ - 3M} \\ \Rightarrow \omega^2 &= \frac{M}{R_+^3} \frac{3(R_+ - 4M) - 2(R_+ - 3M)}{(R_+ - 3M)}\end{aligned}$$

$(R_+ - 6M)$ \swarrow

Contrast this with the Newtonian result:

$$\begin{aligned}\dot{\omega}^2 &\approx \frac{\partial^2 V_{\text{eff}}}{\partial r^2}(R_0) \quad L^2 = MR_0 \\ &= -2 \frac{M}{R_0^3} + 3 \frac{L^2}{R_0^4} = \frac{M}{R_0^3} = \frac{L^2}{R_0^4}\end{aligned}$$

Recall that $L := r^2 \dot{\phi}$, so $\dot{\omega}^2 = \dot{\phi}^2$ in Newtonian gravity. This is why elliptic orbits close. In relativity, however,

$$\begin{aligned}\omega^2 &= \frac{L^2}{R_+^5} (R_+ - 6M) = \left(1 - \frac{6M}{R_+}\right) \dot{\phi}^2 \\ \Rightarrow \omega &= \sqrt{1 - \frac{6M}{R_+}} \dot{\phi} \approx \underline{\underline{\left(1 - \frac{3M}{R_+}\right) \dot{\phi}}}\end{aligned}$$

Thus, nearly circular orbits precess in general relativity.

Define the precession frequency

$$\begin{aligned}\omega_p &:= \dot{\phi} - \omega \approx \frac{3M}{R_+} \dot{\phi} = \frac{3M}{R_+} \frac{L}{R_+^2} \\ &= \frac{3M}{R_+^3} \sqrt{\frac{MR_+^2}{R_+ - 3M}} \approx \frac{3M^{3/2}}{R_+^{5/2}}\end{aligned}$$

We can calculate this precession rate for Mercury:

$$\begin{aligned}\omega_p &= \frac{3M^{3/2}}{R^{5/2}} = \frac{3c}{R^{5/2}} \left(\frac{GM}{c^2}\right)^{3/2} \\ &= \frac{3(6.67 \times 10^{-8})^{3/2} (1.99 \times 10^{33})^{3/2}}{(5.79 \times 10^{12})^{5/2} (3.00 \times 10^{10})^2} \\ &= 6.32 \times 10^{-14} \frac{\text{rad}}{\text{sec}} \\ &= 1.30 \times 10^{-8} \frac{''}{\text{sec}} \\ &= 41.1 \frac{''}{\text{century}} \leftarrow \text{observed precession!}\end{aligned}$$

General Orbits

We have so far considered only quasi-circular orbits. More generally, we must use the full geodesic equation. As in Newtonian theory, it is mathematically convenient to

- (a) introduce the inverse radial coordinate $u := r^{-1}$
- (b) reparameterize the curve using a spatial coordinate
 - ϕ for bound orbits
 - u for unbound

and solve for the locus of points in space.

The effective "Newtonian energy," with dimensional constants restored, becomes

$$\frac{1}{2} \frac{c^2}{L^2} (E^2 + 2K) = \frac{1}{2} \left(\frac{dU}{d\phi} \right)^2 + \frac{1}{2} U^2 + 2K \frac{GM}{L^2} U - \frac{GM}{c^2} U^3$$

For bound orbits, this gives the geodesic equation

$$\frac{d^2 U}{d\phi^2} + U = -2K \frac{GM}{L^2} + 3 \frac{GM}{c^2} U^2$$

For unbound orbits, it is better to keep the first integral

$$\frac{d\phi}{dU} = \left[b^{-2} - U^2 - 4K \frac{GM}{L^2} U + 2 \frac{GM}{c^2} U^3 \right]^{-1/2}$$

$$b = \frac{L}{c} (E^2 + 2K)^{-1/2} = \text{impact parameter at infinity.}$$

Null Geodesics

For null geodesics, the effective potential has $2K=0$, so

$$V_{\text{eff}}(r) = \frac{L^2}{2r^2} - \frac{ML^2}{r^3}$$

- The Newtonian term from the time-like case is missing, which is "why" Newtonian gravity does not affect light.
- The centrifugal term is the same because light moves on straight lines.
- The relativistic term lets gravity act on light rays.

In[124]:=

Clear[L]

In[125]:=

$$\mathbf{Vn} = -M/r + L^2 / (2 * r^2)$$

$$\mathbf{Ve} = \mathbf{Vn} - M * L^2 / r^3$$

$$\mathbf{Vl} = \mathbf{Ve} + M/r$$

$$\mathbf{Vc} = \mathbf{Vn} + M/r$$

Out[125]=

$$\frac{L^2}{2 r^2} - \frac{M}{r}$$

Out[126]=

$$-\frac{L^2 M}{r^3} + \frac{L^2}{2 r^2} - \frac{M}{r}$$

Out[127]=

$$-\frac{L^2 M}{r^3} + \frac{L^2}{2 r^2}$$

Out[128]=

$$\frac{L^2}{2 r^2}$$

In[129]:=

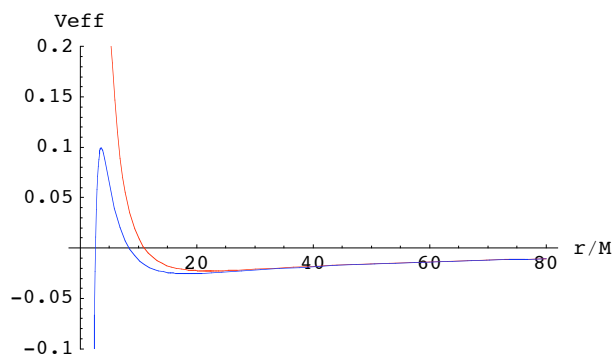
$$\mathbf{L} = \mathbf{Sqrt}[22] * \mathbf{M}$$

Out[129]=

$$\sqrt{22} M$$

In[130]:=

**Plot[Evaluate[{Vn, Ve} /. M -> 1], {r, 1, 80}, AxesLabel -> {"r/M", "Veff"},
PlotStyle -> {{RGBColor[1, 0, 0]}, {RGBColor[0, 0, 1]}}, PlotRange -> {-0.1, 0.2}]**

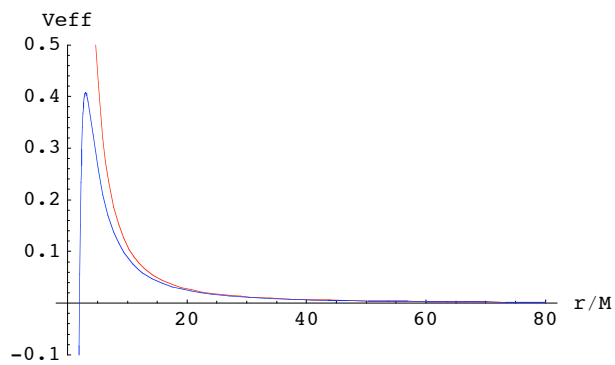


Out[130]=

- Graphics -

In[132]:=

```
Plot[Evaluate[{Vc, V1} /. M -> 1], {r, 1, 80}, AxesLabel -> {"r/M", "Veff"},
PlotStyle -> {{RGBColor[1, 0, 0]}, {RGBColor[0, 0, 1]}}, PlotRange -> {-0.1, 0.5}]
```



Out[132]=

- Graphics -

In[133]:=

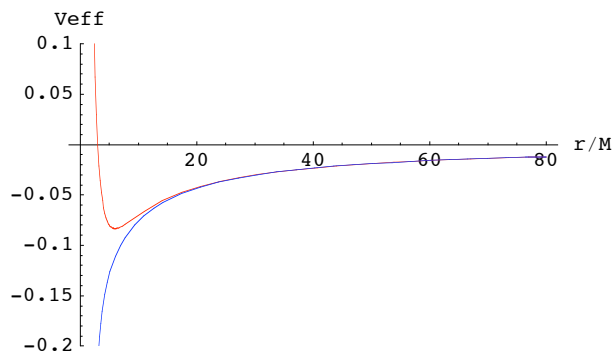
```
L = Sqrt[6] * M
```

Out[133]=

$\sqrt{6} M$

In[135]:=

```
Plot[Evaluate[{Vn, Ve} /. M -> 1], {r, 1, 80}, AxesLabel -> {"r/M", "Veff"},
PlotStyle -> {{RGBColor[1, 0, 0]}, {RGBColor[0, 0, 1]}}, PlotRange -> {-0.2, 0.1}]
```

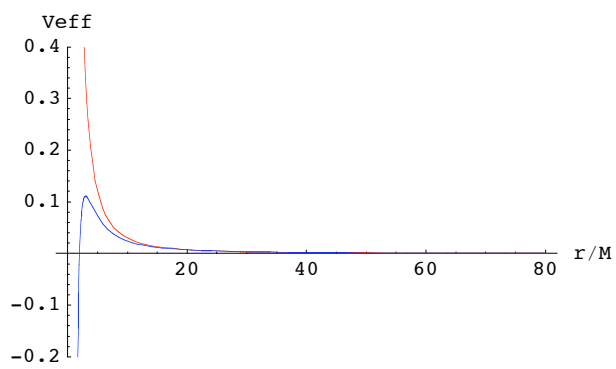


Out[135]=

- Graphics -

In[138]:=

```
Plot[Evaluate[{Vc, Vl} /. M -> 1], {r, 1, 80}, AxesLabel -> {"r/M", "Veff"},  
PlotStyle -> {{RGBColor[1, 0, 0]}, {RGBColor[0, 0, 1]}}, PlotRange -> {-0.2, 0.4}]
```



Out[138]=

- Graphics -

Radial Geodesics

When $L = r^2 \dot{\phi} = 0$, the effective "Newtonian energy" becomes simply

$$\frac{1}{2} E^2 = \frac{1}{2} \dot{r}^2 - K \left(1 - \frac{2M}{r}\right)$$

$$\Rightarrow \frac{1}{2} (E^2 + 2K) = \frac{1}{2} \dot{r}^2 + 2K \frac{M}{r}$$

For time-like geodesics, the right side has exactly the Newtonian form!

But there are relativistic effects because we are in proper time parameterization

$$E = \left(1 - \frac{2M}{r}\right) \dot{t} = \text{const.}$$

Escape Velocity

What minimum speed must an outward-bound test particle have at $r=R$ to escape to infinity? ($2K = -1$)

$$\frac{1}{2} (E^2 - 1) = \frac{1}{2} \dot{r}^2 - \frac{M}{r} \rightarrow 0$$

$$\Rightarrow E = \left(1 - \frac{2M}{r}\right) \dot{t} = 1$$

The four-velocity, in static coordinates, at $r=R$ is

$$\begin{aligned} \hat{v}_e &= \frac{\partial}{\partial \tau} = \frac{\partial t}{\partial \tau} \frac{\partial}{\partial t} + \frac{\partial r}{\partial \tau} \frac{\partial}{\partial r} \\ &= \left(1 - \frac{2M}{R}\right)^{-1} \frac{\partial}{\partial t} + \sqrt{\frac{2M}{R}} \frac{\partial}{\partial r} \end{aligned}$$

We can check

$$\begin{aligned} \hat{v}_e \cdot \hat{v}_e &= - \left(1 - \frac{2M}{R}\right) \cdot \left(1 - \frac{2M}{R}\right)^{-2} \\ &\quad + \left(1 - \frac{2M}{R}\right)^{-1} \cdot \frac{2M}{R} = -1 \end{aligned}$$

In the limit as $R \rightarrow 2M$, the escape velocity four-vector becomes undefined. Why?

What speed does a static observer at $r = R$ observe the escaping particle to have?

$$\hat{V}_s(R) = c \frac{\partial}{\partial t} = \left(1 - \frac{2M}{R}\right)^{-1/2} \frac{\partial}{\partial t}$$

$$\hat{V}_s(R) \cdot \hat{V}_s(R) = -\left(1 - \frac{2M}{R}\right) c^2 = -1$$

In special relativity, the product of 4-velocities is

$$\hat{V}_1 \cdot \hat{V}_2 = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \cdot \begin{pmatrix} \gamma \\ \gamma \vec{v} \end{pmatrix} = -\gamma = -(1 - v^2)^{-1/2}$$

$$\Rightarrow \hat{V}_s \cdot \hat{V}_e = -\left(1 - \frac{2M}{R}\right) \left(1 - \frac{2M}{R}\right)^{-1/2} \left(1 - \frac{2M}{R}\right)^{-1}$$

$$\Rightarrow -(1 - v_e^2)^{-1/2} = -\left(1 - \frac{2M}{R}\right)^{-1/2}$$

$$v_e^2 = \frac{2M}{R} \quad \leftarrow \text{Newtonian result!}$$

$\rightarrow c^2$ at $R = 2M$

So, the local static observers see the particle move with speed $v \rightarrow 1$ as $R \rightarrow 2M$. Note that a static observer at infinity moves with proper time $\tau_\infty = t$ and

$$\frac{dr}{dt} = \frac{\dot{r}}{\dot{t}} = \frac{\sqrt{\frac{2M}{R}}}{\left(1 - \frac{2M}{R}\right)^{-1}} = \left(1 - \frac{2M}{R}\right) \sqrt{\frac{2M}{R}}$$

goes to zero as $R \rightarrow 2M$.

Indeed, if we parameterize the geodesic with coordinate time t instead of proper time τ , we have

$$\begin{aligned} \vec{v}_e &= \frac{\partial t}{\partial \tau} \frac{\partial}{\partial t} + \frac{\partial r}{\partial \tau} \frac{\partial}{\partial r} \quad \leftarrow \begin{array}{l} \text{null as} \\ R \rightarrow 2M \end{array} \\ &= \frac{\partial}{\partial t} + \left(1 - \frac{2M}{R}\right) \sqrt{\frac{2M}{R}} \frac{\partial}{\partial r} \end{aligned}$$

Radial Infall

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Suppose the static observer at $r=R$ releases a test particle at some time.

$$\hat{V}_0 = \hat{V}_s(R)$$

We can integrate the "energy" equation to find

$$\frac{1}{2}(E^2 - 1) = \frac{1}{2}\dot{r}^2 - \frac{M}{r} = -\frac{M}{R}$$

$$\Rightarrow \frac{dr}{dT} = -\sqrt{\frac{2M}{r} - \frac{2M}{R}}$$

$$\Rightarrow T = -\int_R^r \frac{ds}{\sqrt{R/s - 1}} \cdot \sqrt{\frac{R}{2M}}$$

$$= \sqrt{\frac{R}{2M}} \left(\sqrt{r(R-r)} + R \cos^{-1} \sqrt{\frac{r}{R}} \right)$$

Thus, T remains finite as the particle falls to $r \rightarrow 2M$.

However, we have

$$\begin{aligned} \frac{dt}{dr} &= \frac{\dot{t}}{\dot{r}} = \frac{E}{1 - \frac{2M}{r}} \cdot \frac{-1}{\sqrt{\frac{2M}{r} - \frac{2M}{R}}} \\ &= \frac{-r \sqrt{R - 2M}}{\underbrace{(r - 2M)} \sqrt{2M(R - r)}} \end{aligned}$$

The new term in the denominator causes a logarithmic divergence in the integral as $r \rightarrow 2M$.

Radial infall takes finite proper time τ but infinite coordinate time t to reach the limit $r \rightarrow 2M$.

Curvature of Schwarzschild

Schwarzschild spacetime is a vacuum solution, so it is

Einstein - and, therefore,

Ricci - flat ($R_{ab} = 0$). But

it is not (Riemann) flat:

$$\begin{aligned} (FN')' dt_{,1} dr &= \frac{F}{N} (FN')' e^{\pm 1} e^r \\ &= \frac{1}{2} (N^2)'' e^{\pm 1} e^r = -\frac{2M}{r^3} e^{\pm 1} e^r \end{aligned}$$

We can calculate other terms

in the Riemann matrix

similarly to find

$$R_{\alpha}{}^{\beta} = \frac{M}{r^3} \begin{pmatrix} 0 & -2e^{\pm 1} e^r & e^{\pm 1} e^{\theta} & e^{\pm 1} e^{\phi} \\ -2e^{\pm 1} e^r & 0 & -e^r e^{\theta} & -e^r e^{\phi} \\ e^{\pm 1} e^{\theta} & e^r e^{\theta} & 0 & 2e^{\theta} e^{\phi} \\ e^{\pm 1} e^{\phi} & e^r e^{\phi} & -2e^{\theta} e^{\phi} & 0 \end{pmatrix}$$

This certainly doesn't look like it has a problem as $r \rightarrow 2M$, but we have used a basis based on coordinates with curious properties there.

A standard test to look for true singularities in the field is to construct scalar curvature invariants like

$$\begin{aligned}
 I &:= R_{abcd} R^{abcd} \\
 &= R_{ab\alpha\beta} R^{ab\alpha\beta} \\
 &= -R_{ab\alpha}{}^{\beta} R^{ab}{}_{\beta}{}^{\alpha} \\
 &= -2 \left(\frac{M}{r}\right)^2 \left[(-2e^{\pm}_1 e^r)^2 + (e^{\pm}_1 e^{\theta})^2 \right. \\
 &\quad \left. + (e^{\pm}_1 e^{\phi})^2 - (e^r_1 e^{\theta})^2 \right. \\
 &\quad \left. - (e^r_1 e^{\phi})^2 - (-2e^{\theta}_1 e^{\phi})^2 \right]
 \end{aligned}$$

Note that we have

$$\begin{aligned}
 (e^t, e^r)^2 &:= g^{am} g^{bn} \cdot 2e_{[a}^t e_{b]}^r \cdot 2e_{[m}^t e_{n]}^r \\
 &= 2e_{[a}^t e_{b]}^r \cdot -2e_t^a e_r^b \\
 &= -2
 \end{aligned}$$

Following through, we find

$$\begin{aligned}
 I &= 4 \frac{M^2}{r^6} [4 + 1 + 1 + 1 + 1 + 4] \\
 &= \frac{48M^2}{r^6}
 \end{aligned}$$

This is certainly not singular as $R \rightarrow ZM$. In fact, no curvature invariant is singular there. The apparent singularity in the metric results from the poor behavior of t in the limit!